# Notes

#### Chapter 1

- 1 Roosevelt 1937.
- 2 UN 2000a.
- 3 UNDP 1990, p. 61.
- 4 Annan 2005
- 5 UNESCO 2005.
- 6 See UNDP 2003c for a more detailed discussion. Reddy and Pogge 2003.
- 7 Wolfensohn and Bourguignon 2004.
- 8 World Bank 2004c, table 1.3.
- 9 HDRO calculation using data on democracy from CIDCM 2005 and data on population from UN 2003. Countries with a Polity score of 6 or higher were considered democracies.
- 10 UNICEF 2005e, table 1.
- 11 GAVI and The Vaccine Fund 2005b.
- 12 UN Millennium Project 2005a.
- 13 Pelletier and others 1995.
- 14 UNICEF 2005e.
- 15 UNESCO 2005, table 3.3.
- 16 UNESCO 2005.
- 17 Mills and Shilcutt 2004.
- **18** Jha and Mills 2002, p.175.
- **19** Calculated on the basis of data on health expenditure from indicator table 6.
- 20 World Bank 2005e.
- 21 UNAIDS 2004b.
- 22 UNAIDS 2005a.
- 23 UNAIDS 2005b.
- 24 UN Millennium Project 2005a.
- 25 UN Millennium Project 2005a.
- 26 Cousens, Lawn and Zupan 2005.
- 27 At 1.3% a year compared with 1.9%.
- **28** HDRO calculations based on data on under-five mortality from UN 2005b.
- 29 Cousens. Lawn and Zupan 2005.
- 30 The data are derived from UNICEF (2005c) reporting systems available at www.childinfo.org.
- 31 World Bank 2005b.
- 32 Wagstaff and Claeson 2004.
- 33 GAVI and The Vaccine Fund 2005b.
- 34 World Bank 2003e. This figure is based on estimated numbers receiving the third dose of the diphtheria, pertussis and tetanus (DPT) vaccine—the proxy commonly used for coverage.
- **35** van der Gaag 2004.
- **36** WHO and UNICEF 2003.
- 37 UN Millennium Project 2005a.
- 38 Quoted in Gillespie and Kadiyala 2005.
- 39 UNICEF 2005e.

- **40** IFPRI 2005.
- 41 Deaton 2004.
- 42 Collier and Dollar 2002b.
- 43 Reddy and Minoiu 2005. The onset of a stagnation period is defined as a year in which a country's per capita income is lower than of any time in the past two years and higher than at any time in the subsequent four years.
- 44 World Bank 2005e.
- 45 Miller 2005; IMF 2004a, p.21.
- 46 For a clear overview of the different ways of looking at global inequalities see Birdsall 2002a.
- 47 Bourguignon and Morrisson 1999.48 HDRO calculation based on Kroll and
- 48 HDRO calculation based on Kroll and Goldman 2005, Chen and Ravallion 2004 and World Bank 2004e.
- 49 Dikhanov 2005.
- 50 Bhagwati 2004, p. 66.
- **51** King 1963.
- 52 UN Millennium Project 2005e, p. 2.
- 53 Description of methodology.
- 54 Wagstaff and Claeson 2004.
- 55 UN Viet Nam 2002.
- 56 Klump and Bonschab 2004.
- **57** UNDP 2003b

# Chapter 2

- 1 Walker and Walker 1987.
- 2 Plato 2000.
- 3 Sen 1992.
- 4 Bourguignon, Ferreira and Menéndez 2003.
- 5 Smith [1776] 1976.
- 6 de Ferranti and others 2003.
- 7 Quoted in Kanbur 2005.
- 8 Kanbur 2005.
- 9 The Economist 2004b.
- 10 Farmer 2004.
- 11 Smith [1776] 1976.
- 12 Cornia 2004.
- 13 The \$2 a day poverty line is \$978 in 1995 purchasing power parity terms.
- 14 Based on Gwatkin and others forthcoming.
- 15 Deaton 2002.
- 16 World Bank 2003b.
- 17 McKay and Aryeetey 2004.
- 18 Graham 2004.
- 19 UN Millennium Project 2005h.
- 20 HDRO calculation based on IIPS and ORC Macro 2000.

- 21 IFPRI 2005.
- 22 Wagtaff and van Doorslaer 2003.
- 23 Deininger and Mpuga 2004.
- 24 Banerjee, Deaton and Duflo 2004.
- 25 Birdsall and Londono 1997.
- 26 Killick 2002a.
- 27 World Bank and IMF 2005a.
- 28 Thurlow and Wobst 2004.
- 29 UNICFF 2005a
- **30** UNDP 2003d.

## Chapter 3

- Lula da Silva 2004.
- Bush 2002.
- 3 Mahatma Gandhi, as quoted in Sethi
- 4 Cited in Woodward 1963. Chadwick's report led to the creation of a Royal Commission and, eventually, to the Public Health Act of 1848.
- 5 Edsforth 2000.
- 6 Lindert 2005.
- 7 The White House 2002.
- 8 Bruns, Mingat and Rakatomalala 2003.
- 9 Commission for Africa 2005.
- 10 UN Millennium Project 2005e.
- 11 Sundberg, Lofgren and Bourguignon 2005
- **12** Hansen and Tarp 2000; Foster and Keith 2003a. b.
- 13 Clemens, Bhavnani and Radelet 2004.
- 14 Foster and Keith 2003a, b.
- 15 Levine and the What Works Working Group 2004.
- 16 Joint Learning Initiative 2004.
- 17 Akhter and del Ninno 2001.
- 18 World Bank 2004b.
- **19** Goldberg 2005.
- 20 Nguyen and Akal 2003; ADB 2004.
- 21 UNDP 2005a.
- 22 Levine and the What Works Working Group 2004.
- 23 GAVI and The Vaccine Fund 2005a.
- 24 UN Millennium Project 2005b.
- 25 Mills and Shilcutt 2004.
- 26 Quoted in DeLong and Eichengreen 1991.
- **27** DeLong and Eichengreen 1991.
- 28 Commission on International Development
- 29 Commission on International Development 1969.
- **30** The other three are Ireland, Portugal and Spain.

- 31 Millennium Challenge Corporation 2005b.
- 32 World Bank and IMF 2005b.
- 33 Commission for Africa 2005.
- 34 Woods 2005
- 35 Dollar and Burnside 2000.
- **36** Birdsall, Claessens and Diwan 2003.
- 37 World Bank and IMF 2005a. The World Bank index uses a Country Policy and Institutional Assessment (CPIA) exercise to rank countries.
- 38 Dollar and Levin 2004. Empirical evidence collected by the World Bank suggests that low-income countries with weak institutions receive around 40% less aid than predicted on the basis of their Country Policy and Institutional Assessment scores.
- 39 World Bank 2002.
- 40 UK, HM Treasury 2003.
- 41 See, for example, Working Group on New International Financial Contributions 2004. Various alternative financing proposals are discussed in Reisen 2004.
- 42 World Bank and IMF 2004a.
- 43 Adam and Bevan 2003.
- 44 Clemens, Bhavnani and Radelet 2004.
- 45 World Bank and IMF 2003.
- **46** IMF 2002
- 47 Bevan 2005.
- 48 Bevan 2005.
- 49 Berg 2005.
- 50 Sundberg, Lofgren and Bourguignon 2005.
- 51 Vargas Hill 2005
- 52 Bulír and Hamann 2003.
- 53 Watt 2005.
- 54 Watt 2005.
- 55 Adam 2005.
- 56 World Bank 2005c.
- 57 Martin and Bargawi 2004.
- 58 Killick 2004.
- **59** World Bank 2003a.
- **60** Killick 2004.
- 61 Knack and Rahman 2004
- 62 World Bank and IMF 2005a.
- 63 Knack and Rahman 2004.64 Knack and Rahman 2004.
- 65 Watt 2005
- 66 Jepma 1991; Aryeetey, Osei and Quartey 2003. There are a range of estimates of the costs of tied aid. One study of project-based aid in Ghana found that input costs could have been lowered by 11%–25% by untying aid (McKay and Aryeetey 2004). Earlier studies covering larger groups of countries estimated costs in a higher range, at 15%–30%. The OECD puts the additional cost of tied food aid at 50%
- (OECD/DAC 2004b). **67** OECD/DAC 2004b, 2005e.
- **68** For Italy the average for 2001 and 2002 is used since the 2003 figure is not reported.
- 69 Aid/Watch 2005.
- 70 Miovic 2004.
- 71 Watt 2005. On the wider transaction costs associated with pooling arrangements, see OECD/DAC 2003b.
- **72** UNDP 2004b.

- 73 Johnson and Martin 2005.
- 74 UN Millennium Project 2005f. These were: Mauritania, Guyana, The Gambia, Honduras, Burkina Faso, Ghana, Nicaragua, Niger, Guinea, Viet Nam, Mozambique, and Yemen. Ethiopia's plan has also now been endorsed.
- **75** Global Campaign for Education 2005.
- 76 OECD/DAC 2005d.

#### Chapter 4

- 1 Galeano 1973.
- 2 James 2001
- **3** WTO 2004b.
- 4 WTO 2004b.
- 5 IMF and World Bank 2001.
- 6 World Bank and IMF 2005a.
- 7 Arndt 1998. "Intra-product" trade is estimated to account for around one-third of the value in world trade.
- 8 Carey 2002; Intel 2005; Seagate 2003.
- 9 CAFOD 2005
- 10 UNIDO 2004.
- 11 UNIDO 2002.
- 12 Lall 2004.
- 13 When Malaysia exports colour televisions, more than one-quarter of the value added to imported components stays in Malaysia. When Mexico exports high-technology automobile products, the equivalent share is 3%.
- 14 UNCTAD 2003. The combined price index deflates the unit value of commodities exported by developing countries by the unit value of manufactured exports from developed countries.
- **15** UNCTAD 2004b.
- 16 Lall and Pietrobelli 2002.
- 17 Rodrik 2001b.
- 18 Bhattacharya 2003.
- 19 Dollar 2004.
- 20 Evans 2005
- 21 See, for example, Lall 2001.
- 22 Morley 2002.
- 23 Jha 2005.
- 24 Jank and others 2001; World Bank 2004f.
- 25 Carlson 2001.
- 26 Rosen 2002
- 27 IADB 2004.
- 28 Oxfam International 2004e.
- 29 Birdsall and Subramaniam 2004.
- **30** UNEP 1999.
- 31 UNDP 2003f.
- **32** Laird 2002; Stevens and Kennan 2002; Ng, Hoekman and Olarreaga 2001.
- **33** Figures are trade weighted. UNCTAD and World Bank 2005.
- **34** Laird 2002.
- 35 USITC 2005
- 36 Data on tariff escalation is derived from UNCTAD TRAINS data (UNCTAD and World Bank 2005). See also Cernat, Laird and Turrini 2003.
- 37 The European Union's rules of origin are explained in Oxfam International 2004d.
- 38 Mlachila and Yang 2004.

- 39 Oxfam International 2004d.
- 40 Integrated Framework for Trade-Related Technical Assistance to Least Developed Countries 2003.
- 41 Stevens and Kennan 2004a.
- 42 Alexandraki and Lankes 2004.
- 43 Derived from OECD 2004a.
- 44 Aksoy and Beghin 2004.
- 45 OECD 2000.
- 46 Diao, Diaz-Bonilla and Robinson 2003.
- 47 Oxfam International 2004a.
- **48** US Department of Agriculture, Economic Research Service 2002, tables 29 and 35.
- 49 Minot and Daniels 2002.
- **50** IMF 2005.
- 51 FAO 2004a.
- 52 Oxfam International 2005a.
- **53** US Department of Agriculture, Economic Research Service 2005a.
- 54 OFCD 2004c.
- 55 Lall 2001
- 56 Oxfam International 2002h
- 57 Rodrik 2004.
- 58 Maskus 2004.
- 59 Mayne 2005.
- 60 Chauduri, Goldberg and Jia 2003.
- 61 Cited in Mayne 2005.
- 62 Mayne 2005.
- 63 Tussie 2005.
- 64 Parikh 2002.
- 65 Parikh 2002.66 Winters 2002.
- **67** Stevens and Kennan 2005b. See also Stevens and Kennan 2005a.
- 68 Keynes 1980.
- 69 Osorio 2004.
- **70** Ponte 2001.
- 71 Gibbon 2005.72 The following is based on Vargas Hill 2005.
- 73 Vargas Hill 2005.
- 74 0:55-- 0005
- **74** Gibbon 2005 **75** Ponte 2001
- 76 Larsen 2003; Teal and Vigneri 2004.
- 77 Gibbon 2005
- 78 Lang 2003; ACIAR 2004.
- 79 This section draws on Brown 2005a.
- 80 Reardon and others 2003.
- 81 Reardon, Timmer and Berdegue 2003.82 Oxfam International 2004e.
- 83 Vorley 2003.
- 84 Reardon, Timmer and Berdegue 2003.
- **85** Jaffee 2003, 2005
- 86 Reardon, Timmer and Berdegue 2003.
- 87 Aschenaki 2004.
- 88 Collier and Gunning 1999
- 89 Diao and Hazell 2003.90 Diao and Hazell 2003.
- 91 Jensen 2005. 92 Jaffee 2005.
- 93 This section draws on Deere 2005;
- 94 This section draws on Deere 2005.

# Chapter 5

- 1 Annan 2002
- 2 Annan 2005.

- 3 MIPT 2005. For the purposes of this database terrorism is defined by the nature of the act, not by the identity of the perpetrators or the nature of the cause. Terrorism is violence or threat of violence, generally targeted at civilians, with the express political purpose of coercing others into actions they would not otherwise undertake, or refrain from actions they desired to take.
- Data on casualties are from Marshall 2005. Armed conflict is defined in this Report as the use of armed force between two parties, at least one of which is the government of a state, that results in at least 25 battle-related deaths (Strand, Wilhelmsen and Gleditsch 2005).
- 5 Global IDP Project 2005a, p. 10.
- 6 UN 2004b.
- Annan 2005, p. 24. 7
- Calculations based on Strand, Wilhelmsen and Gleditsch 2005 and World Bank 2005f. 48 UNDP 2001.
- 9 Fearon and Laitin 2003
- 10 Polity IV data (CIDCM 2005).
- 11 Collier and others 2003.
- 12 Collier and Hoeffler 2004a.
- 13 Fuentes 2005a.
- **14** Collier and others 2003, p. 21.
- 15 Lopez 2003 as quoted in Fuentes 2005a.
- 16 Humphreys 2003.
- **17** Collier and others 2003, p. 35.
- 18 Collier and Hoeffler 2004a.
- 19 Mackenzie and Buchanan-Smith 2005 p. 20.
- 20 Centre for International Cooperation and Security, Department of Peace Studies 2005.
- 21 Centre for International Cooperation and Security, Department of Peace Studies 2005, p. 21.
- 22 Ginifer 2005, p. 17.
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- 24 Boyden and Ryder 1996.
- 25 HDRO calculations based on World Bank 2004e.
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- 27 HDRO calculations.
- 28 Toole and Waldman 1997.

- 29 Global IDP Project 2003.
- 30 Pedersen 2002.
- **31** Mann and others 1994; Horton 1993.
- 32 Ghobarah, Huth and Russett 2004.
- 33 LINAIDS 2003
- 34 UNAIDS 2004b, pp.175-78.
- 35 SIPRI 2004. Data on health expenditures refer to 2001.
- **36** Fuentes 2005a and indicator table 5.
- 37 Fuentes 2005a and indicator table 5.
- 38 Peimani 2005, p. 19.
- 39 Physicians for Human Rights 2002.
- 40 Otunnu 2005.
- 41 Calculated from FBI various years.
- 42 UNDP 2003a, p.105.
- 43 Human Rights Watch 2004b.
- 44 DFID 2005.
- **45** ICISS 2001, para 1.34.
- 46 Commission on Weak States and US National Security 2004.
- 47 DFID 2005.
- 49 Brown 2005
- 50 Fearon and Laitin 2003.
- **51** Quoted in King 1998.
- **52** Uvin 1998.
- 53 McGovern and Choulai 2005.
- 54 World Bank 2005a
- 55 Collier and Hoeffler 2002.
- 56 See, for example, ICG 2001a.
- 57 The Kimberley Process 2004.
- 58 Muggah 2001.
- 59 Muggah and Batchelor 2002.
- 60 Small Arms Survey 2002.
- 61 In May 2005 a donor pledging conference agreed to increase support by \$200 million against an African Union request for \$350 million (BBC News 2005b).
- 62 African Union 2000, Article 4(h). See discussion in Cilliers and Sturman 2002.
- 63 Juma and Mengistu 2002, p. 24.
- 64 Juma and Mengistu 2002, p. 30.
- 65 O'Hanlon and Rice 2004.
- 66 Kagwanja 2004.
- 67 This analytical framework draws on Chesterman 2005.
- 68 UN 2000b.
- 69 OECD DAC 2003a, 2004d.
- 70 Addison 2003.
- 71 UN 2004b, pp. 55-56.

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